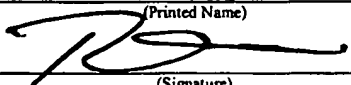




IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Arthur W. CHAFFEE  
**Title:** INVESTMENT PORTFOLIO  
TRACKING SYSTEM AND METHOD  
**Application No.:** 09/410,825  
**Filing Date:** 1 October 1999  
**Examiner:** N. SUBRAMANIAN  
**Art Unit:** 3624

CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
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**RULE 312 AMENDMENT**

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby amends the specification of this application as indicated below: